

NCFM – NSE’s Certification in Financial Markets
I B.Com (Capital Markets) – II Semester
NCFM Online Certificate Course
Add-on Extra Credit Course

Semester	Course Type	Course Code	Course Title	Credits	Hrs	Total
II	Certificate		Financial Markets – A Beginners’ Module	3	45	100

Unit	Course Contents	Hours
Unit 1	Financial Markets Introduction - Markets and Financial Instruments – Types of Markets – Equity Debt – Derivatives commodities – Features of Private & Public Companies – Types of Investment Avenues.	9
Unit 2	Primary Market Introduction – Initial Public Offer (IPO) – Book Building through online IIPPO – Eligibility to issue securities – Pricing of issues – Fixed Vs Book building issues – Allotment of shares – Basis of Allotment – Private placement.	9
Unit 3	Secondary Market Introduction - Role and Functions of Securities and Exchange Board of India (SEBI) - Depositories – Stock Exchanges – Intermediaries in the Indian Stock Market Listing – Membership – Trading clearing settlement and Risk Management – Investor Protection Fund (IPF) – Do’s and Don’ts for investors – Equity and debt investment	9
Unit 4	Derivatives Introduction Types of Derivatives – Commodity and commodity exchanges – Commodity Vs Financial derivatives.	9
Unit 5	Financial Statement Analysis Introduction – Balance Sheet – Profit & Loss account – Stock market related ratios – Simple analysis before investing in the shares – Understanding Annual Report.	9

Books for Study

Financial Markets: A Beginners’ Module, NCFM Study Material.

Books for Reference

1. Gordon & Natarajan, *Financial Markets and Services*, Himalaya Publishing House, Mumbai.
2. Bholey L.M. *New Issues Market of India*, Vara Publication Ltd, Mumbai.
3. *Capital markets and Securities Laws*, The Institute of Company Secretaries of India, New Delhi.
4. Srivasta R.M, *Management of Indian Financial Institution*, Himalaya Publishing House, Mumbai
5. Khan MY, *Indian Financial Theory and Practice*, Vikas Publishing House, Delhi
6. Bholey, *Financial Markets and Institutions*, Vara Publication, Mumbai.
7. www.sebi.gov.in